

# EXHIBIT 4

## IV. DISCLOSURE - CAMPAIGN FINANCE ORDINANCE – CONTRACTORS AND SUBCONTRACTORS

The City Council adopted Ordinance No. 5744, "Campaign Finance Ordinance," which became effective on September 9, 2011, and amended it with Ordinance No. 5768, which became effective on March 15, 2012 ("the Ordinance"). The Ordinance prohibits: (1) A contractor\* (including a subcontractor\*\*)— who has a contract with the City of Glendale ("City"), Glendale Successor Agency or Glendale Redevelopment Agency ("GSA"), or the Housing Authority of the City of Glendale ("HA") and that contract is subject to approval by the City Council, GSA, or HA— from making a contribution to a City Council member, GSA member, HA member, City Clerk, or City Treasurer; and (2) Council members, GSA members, and HA members from voting on matters concerning a contract affecting a contractor or subcontractor who has provided a campaign contribution.

The provisions of the Ordinance restricting Council members from voting on matters affecting campaign contributors applies to a contractor whose contract requires the City Council's, GSA's, or HA's approval, and specified subcontractors. A contract with the City, GSA, or HA is known as a "City Contract." The Ordinance excludes a competitively bid contract awarded to the lowest responsible bidder.

To assist members of the City Council, GSA, and HA before they vote on a matter regarding a City Contract, the Ordinance requires City staff to prepare this report which discloses certain information about: the contractor receiving the City Contract, and specified subcontractor(s) working under the City Contract.

- Information that must be disclosed:**
- Name of the person, entity, or organization (i.e., the Contractor or Subcontractor)
  - Name of the Chief Executive Officer/President
  - Chief Operating Officer
  - Chief Financial Officer
  - Chairperson
  - All members of the Board of Directors
  - All persons who own more than 10% of the person, entity, or organization
  - Name of any campaign committee owned or controlled by the person, entity, or organization

**Please complete the following disclosure form:**

**Contractor(s)\*:**

Name		Address			
Nichols Consulting Engineers, Chtd (NCE)		17050 Bushard Street, Suite 200, Fountain Valley, CA 92708			
Full Name	Title	Business Address	City	State	Zip
	Chairperson				
Margot Yapp	Chief Executive Officer / President	501 Canal Blvd, Suite I	Richmond	CA	94804
	Chief Operating Officer				
Darren Leitzke (Director of Finance)	<del>Chief Financial Officer</del>	1885 S. Arlington Ave, Suite 111	Reno	NV	89509
Margot Yapp	Board of Directors	501 Canal Blvd, Suite I	Richmond	CA	94804
See next page for add'l info	More than 10% interest owner	See next page for add'l info	See	Next	Page

\* "Contractor" is the person, entity, or organization that is the party, or prospective party, to the contract with the City, GSA, or HA. In addition to the name of the person, or entity, the Contractor must also disclose the name(s) of that person's, entity's, or organization's Board of Directors, its Chairperson, Chief Executive Officer, Chief Financial Officer, Chief Operating Officer, any person with an ownership interest of more than ten percent (10%) in that person, entity, or organization, and any campaign committee that is owned or controlled by that person, entity, or organization. Please disclose these persons' name and business address.

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## Subcontractor(s)\*\*:

Name		Address			
See additional attachments on following pages					
Full Name	Title	Business Address	City	State	Zip
	Chairperson				
	Chief Executive Officer / President				
	Chief Operating Officer				
	Chief Financial Officer				
	Board of Directors				
	More than 10% interest owner				

\*\* "Subcontractor" is the person, entity, or organization that has entered into a contract for the performance of all or a portion of the work undertaken under an agreement with an architect, design professional, engineer, or general or prime contract, usually by a general or prime contractor. You must also disclose the names of the Subcontractor's Board of Directors, its Chairperson, Chief Executive Officer, Chief Financial Officer, Chief Operating Officer, any person with an ownership interest of more than ten percent (10%) in that person, entity, or organization, and any campaign committee that is owned or controlled by that person, entity, or organization. Above please disclose these persons' name and business address.

Campaign Committee owned or controlled by: Not Applicable / None

Title	Business Address	City	State	Zip

## CERTIFICATION

On behalf of the above-named ☒ Contractor ☐ Subcontractor:

- I acknowledge that I have a continuing obligation to update this disclosure form if I substitute— or if I select additional— architects, design professionals, contractors, or subcontractors within ten (10) days of the selection or change; and
- I hereby certify that:
  - I have identified all persons for which the Ordinance requires disclosure;
  - The information in this disclosure form (and any attachment to this form) is true, accurate, correct, and complete; and
  - I have been legally authorized to submit this disclosure form.

In total, this disclosure form (including attachments) is <sup>3</sup> pages.

Executed on: August 24, 20<sup>22</sup>, at: Sacramento, California.

Signature:  Print Full Name: Michael J. Leacox

Address: 8795 Folsom Blvd, Suite 250, Sacramento, CA 95826

Phone Number: (916) 388-5655

E-mail Address: mleacox@ncenet.com

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### IV. DISCLOSURE - CAMPAIGN FINANCE ORDINANCE – CONTRACTORS AND SUBCONTRACTORS

*Please complete the following disclosure form:*

**Contractor(s)\*:**

Name		Address			
Nichols Consulting Engineers, Chtd (NCE)		17050 Bushard Street, Suite 200, Fountain Valley, CA 92708			
Full Name	Title	Business Address	City	State	Zip
Michael J. Leacox	Board of Directors	8795 Folsom Blvd, Suite 250	Sacramento	CA	95826
Gregory Fasiano	Board of Directors	8795 Folsom Blvd, Suite 250	Sacramento	CA	95826
J. Ryan Shafer	Board of Directors	501 Canal Blvd, Suite I	Richmond	CA	94804
Jason Drew	Board of Directors	224 Kingsbury Grade, Suite 203	Stateline	NV	89449
Margot Yapp	More than 10% interest owner	501 Canal Blvd, Suite I	Richmond	CA	94804
Michael J. Leacox	More than 10% interest owner	8795 Folsom Blvd, Suite 250	Sacramento	CA	95826
Gregory Fasiano	More than 10% interest owner	8795 Folsom Blvd, Suite 250	Sacramento	CA	95826
Kevin Senn	More than 10% interest owner	1885 South Arlington Ave, Suite 111	Reno	NV	89509

\* "Contractor" is the person, entity, or organization that is the party, or prospective party, to the contract with the City, GSA, or HA. In addition to the name of the person, or entity, the Contractor must also disclose the name(s) of that person's, entity's, or organization's Board of Directors, its Chairperson, Chief Executive Officer, Chief Financial Officer, Chief Operating Officer, any person with an ownership interest of more than ten percent (10%) in that person, entity, or organization, and any campaign committee that is owned or controlled by that person, entity, or organization. Please disclose these persons' name and business address.

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## Subcontractor(s)\*\*:

Name		Address			
LIN Consulting, Inc.		21660 Copley Drive, Suite 270, Diamond Bar, CA 91765			
Full Name	Title	Business Address	City	State	Zip
	Chairperson				
Denwun Lin	Chief Executive Officer / President	21660 Copely Drive, Suite 270	Diamond Bar	CA	91765
	Chief Operating Officer				
Ling Ling Lin	Chief Financial Officer	21660 Copley Drive, Suite 270	Diamond Bar	CA	91765
William Sun/Sandy Hou	Board of Directors	21660 Copley Drive, Suite 270	Diamond Bar	CA	91765
	More than 10% interest owner				

\*\* "Subcontractor" is the person, entity, or organization that has entered into a contract for the performance of all or a portion of the work undertaken under an agreement with an architect, design professional, engineer, or general or prime contract, usually by a general or prime contractor. You must also disclose the names of the Subcontractor's Board of Directors, its Chairperson, Chief Executive Officer, Chief Financial Officer, Chief Operating Officer, any person with an ownership interest of more than ten percent (10%) in that person, entity, or organization, and any campaign committee that is owned or controlled by that person, entity, or organization. Above please disclose these persons' name and business address.

Campaign Committee owned or controlled by: Not Applicable

Title	Business Address	City	State	Zip

## CERTIFICATION

On behalf of the above-named ☐ Contractor ☒ Subcontractor:

- I acknowledge that I have a continuing obligation to update this disclosure form if I substitute— or if I select additional— architects, design professionals, contractors, or subcontractors within ten (10) days of the selection or change; and
- I hereby certify that:
  - I have identified all persons for which the Ordinance requires disclosure;
  - The information in this disclosure form (and any attachment to this form) is true, accurate, correct, and complete; and
  - I have been legally authorized to submit this disclosure form.

In total, this disclosure form (including attachments) is 0 pages.

Executed on: August 1, 2022, at: Diamond Bar, California.

Signature:  Print Full Name: Sandy Hou

Address: 21660 Copley Drive, Suite 270, Diamond Bar, CA 91765

Phone Number: (909)396-6850

E-mail Address: shou@linconsulting.com

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## Subcontractor(s)\*\*:

Name		Address			
Coast Surveying, Inc.		15031 Parkway Loop, Suite B, Tustin, CA 92780			
Full Name	Title	Business Address	City	State	Zip
	Chairperson				
Ruel del Castillo	Chief Executive Officer / President	15031 Parkway Loop, Suite B	Tustin	CA	92780
	Chief Operating Officer				
	Chief Financial Officer				
Ruel del Castillo	Board of Directors	15031 Parkway Loop, Suite B	Tustin	CA	92780
Ruel del Castillo	More than 10% interest owner	15031 Parkway Loop, Suite B	Tustin	CA	92780

\*\* "Subcontractor" is the person, entity, or organization that has entered into a contract for the performance of all or a portion of the work undertaken under an agreement with an architect, design professional, engineer, or general or prime contract, usually by a general or prime contractor. You must also disclose the names of the Subcontractor's Board of Directors, its Chairperson, Chief Executive Officer, Chief Financial Officer, Chief Operating Officer, any person with an ownership interest of more than ten percent (10%) in that person, entity, or organization, and any campaign committee that is owned or controlled by that person, entity, or organization. Above please disclose these persons' name and business address.

Campaign Committee owned or controlled by: Not Applicable / None

Title	Business Address	City	State	Zip

## CERTIFICATION

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  - I have been legally authorized to submit this disclosure form.

In total, this disclosure form (including attachments) is 1 pages.

Executed on: August 17, 2022, at: Tustin, California.

Signature:  Print Full Name: Ruel del Castillo

Address: 15031 Parkway Loop, Suite B, Tustin, CA 92780

Phone Number: 714-918-6266

E-mail Address: ruel.delcastillo@coastsurvey.com

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## Subcontractor(s)\*\*:

Name		Address			
NMG Geotechnical, Inc.		17991 Fitch, Irvine, CA 92614			
Full Name	Title	Business Address	City	State	Zip
	Chairperson				
Hayim Ninyo	Chief Executive Officer / President	17991 Fitch	Irvine	CA	92614
	Chief Operating Officer				
Ted Miyake	Chief Financial Officer	same as above			
	Board of Directors				
William Goodman	More than 10% interest owner	same as above			

\*\* "Subcontractor" is the person, entity, or organization that has entered into a contract for the performance of all or a portion of the work undertaken under an agreement with an architect, design professional, engineer, or general or prime contract, usually by a general or prime contractor. You must also disclose the names of the Subcontractor's Board of Directors, its Chairperson, Chief Executive Officer, Chief Financial Officer, Chief Operating Officer, any person with an ownership interest of more than ten percent (10%) in that person, entity, or organization, and any campaign committee that is owned or controlled by that person, entity, or organization. Above please disclose these persons' name and business address.

Campaign Committee owned or controlled by: Not Applicable

Title	Business Address	City	State	Zip

## CERTIFICATION

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  - The information in this disclosure form (and any attachment to this form) is true, accurate, correct, and complete; and
  - I have been legally authorized to submit this disclosure form.

In total, this disclosure form (including attachments) is 1 pages.

Executed on: August 19,, 2022, at: Irvine, California.

Signature:  Print Full Name: Tetsuo Ted Miyake

Address: 17991 Fitch, Irvine, CA 92614

Phone Number: 949-442-2442

E-mail Address: tmiyake@nmggeo.com