

DISCLOSURE - CAMPAIGN FINANCE ORDINANCE

The City Council adopted Ordinance No. 5744, "Campaign Finance Ordinance," which became effective on September 9, 2011, and amended it with Ordinance No. 5768, which became effective on March 15, 2012 ("the Ordinance"). The Ordinance prohibits: (1) A contractor* (including a subcontractor**) who has a contract with the City of Glendale ("City"), Glendale Redevelopment Agency ("GRA"), or the Housing Authority of the City of Glendale ("HA") and that contract is subject to approval by the City Council, GRA, or HA— from making a contribution to a City Council member, GRA member, HA member, City Clerk, or City Treasurer; and (2) Council members, GRA members, and HA members from voting on matters concerning a contract with a person who has provided a campaign contribution.

The Ordinance applies to a contractor whose contract requires the City Council's, GRA's, or HA's approval. A contract with the City, GRA, or HA is known as a "City Contract." The Ordinance also applies to a subcontractor who has a subcontract through a City Contract. The Ordinance excludes a competitively bid contract awarded to the lowest responsible bidder.

To assist members of the City Council, GRA, and HA before they vote on a matter regarding a City Contract, the Ordinance requires City staff to prepare this report which discloses certain information about: the contractor receiving the City Contract, and the subcontractor(s) working under the City Contract.

A contractor must provide the information listed below when:	A subcontractor must provide the information listed below when:
<ul style="list-style-type: none"> • The City Contract has a total anticipated or actual value of \$50,000 or more; or 	<ul style="list-style-type: none"> • The subcontract through a City Contract has a total anticipated or actual value of \$25,000 or more; or
<ul style="list-style-type: none"> • A combination or series of City Contracts have a value of \$50,000 or more. 	<ul style="list-style-type: none"> • A combination or series of subcontracts, for the same or different projects, have an aggregate value of \$25,000 or more.

Information that must be disclosed:

- Name of the person, entity, or organization (i.e., the Contractor or Subcontractor)
- Name of the Chief Executive Officer/President
- Chief Operating Officer
- Chief Financial Officer
- Chairperson
- All members of the Board of Directors
- All persons who own more than 10% of the person, entity, or organization
- Name of any campaign committee owned or controlled by the person, entity, or organization

Please complete the following disclosure form:**Contractor(s)*:**

Name		Address		
Full Name	Title	Business Address	City	State Zip
Bernard Burns	Chairperson	227 West Trade Street, Suite 1400	Charlotte	NC 28202
Peiffer Brandt	Chief Executive Officer / President	227 West Trade Street, Suite 1400	Charlotte	NC 28202
N/A	Chief Operating Officer			
Christine McIntyre	Chief Financial Officer	227 West Trade Street, Suite 1400	Charlotte	NC 28202
See attached	Board of Directors			
Peiffer Brandt	More than 10% interest owner	227 West Trade Street, Suite 1400	Charlotte	NC 28202

* "Contractor" is the person, entity, or organization that is the party, or prospective party, to the contract with the City, GRA, or HA or any member of that person's, entity's, or organization's Board of Directors, its Chairperson, Chief Executive Officer, Chief Financial Officer, Chief Operating Officer, any person with an ownership interest of more than ten percent (10%) in that person, entity, or organization, and any campaign committee that is owned or controlled by that person, entity, or organization. Above please disclose these persons' name and business address.

Subcontractor(s):**

Name		Address		
Full Name	Title	Business Address	City	State Zip
	Chairperson			
	Chief Executive Officer / President			
	Chief Operating Officer			
	Chief Financial Officer			
	Board of Directors			
	More than 10% interest owner			

** "Subcontractor" is the person, entity, or organization that has entered into a contract for the performance of all or a portion of the work undertaken under an agreement with an architect, design professional, engineer, or general or prime contractor, usually by a general or prime contractor. Subcontractor includes any member of that person's, entity's, or organization's Board of Directors, its Chairperson, Chief Executive Officer, Chief Financial Officer, Chief Operating Officer, any person with an ownership interest of more than ten percent (10%) in that person, entity, or organization, and any campaign committee that is owned or controlled by that person, entity, or organization. Above please disclose these persons' name and business address.

Campaign Committee owned or controlled by: _____

Title	Business Address	City	State	Zip

CERTIFICATION

On behalf of the above-named ☒ Contractor ☐ Subcontractor:

- I acknowledge that I have a continuing obligation to update this disclosure form if I substitute— or if I select additional— architects, design professionals, contractors, or subcontractors within ten (10) days of the selection or change; and
- I hereby certify that:
 - I have identified all persons for which the Ordinance requires disclosure;
 - The information in this disclosure form (and any attachment to this form) is true, accurate, correct, and complete; and
 - I have been legally authorized to submit this disclosure form.

In total, this disclosure form (including attachments) is 2 pages.

Executed on: October 30, 2024, at: Los Angeles, California.

Signature:  Print Full Name: Steve Gagnon

Address: 445 S. Figueroa Street, Suite 1925, Los Angeles, CA 90071

Phone Number: 213-262-9300 E-mail Address: sgagnon@raftelis.com

Raftelis Board of Directors

Bernard Burns	Chairman	227 West Trade Street, Suite 1400, Charlotte, NC 28202
Peiffer Brandt	Director	227 West Trade Street, Suite 1400, Charlotte, NC 28202
John Mastracchio	Director	800 Troy-Schenectady Road, Suite 107, Latham, NY 12110
Michelle Ferguson	Director	3752 Saint Marks Road, Durham, NC 27707
Bart Kreps	Director	227 West Trade Street, Suite 1400, Charlotte, NC 28202
Henrietta Locklear	Director	1009 Burchwood Ave, Nashville, TN 37216
Thierry Boveri	Director	341 N. Maitland Avenue, Suite 300, Maitland, FL 32751
Laura Bowles	Director	227 West Trade Street, Suite 1400, Charlotte, NC 28202
Andre Powell	Director	227 West Trade Street, Suite 1400, Charlotte, NC 28202